

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Helmut AUER et al.

Date: August 17, 2006

International Application No.: PCT/IB2004/000428

International Filing Date: 18 February 2004

For: DATA PROCESSING DEVICE, DATA STRUCTURE, AND METHOD FOR
DELIVERING FINANCIAL INFORMATION FOR A FINANCIAL INFORMATION
DATABASE

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Prior to examination on the merits, kindly amend the application as set forth below.

FEE CALCULATION

Any additional fee required has been calculated as follows:

_____ If checked, "Small Entity" status is claimed.

	NO. CLAIMS AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		EXTRA PRESENT		RATE	ADDIT. FEE
TOTAL	23	MINUS	20	* =	3	X	(\$25 SE or \$50)	\$150.00
INDEP.	6	MINUS	3	** =	3	X	(\$100 SE or \$200)	\$600.00
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM						X	(\$180 SE or \$360)	\$
								TOTAL <u>\$750.00</u>

* not less than 20 ** not less than 3

If any additional payment is required, a check which includes the calculated fee of
\$750.00 (OFGS Check No. 25536) is attached.

In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 15-0700.

CONTINGENT EXTENSION REQUEST

If this communication is filed after the shortened statutory time period had elapsed and no separate Petition is enclosed, the Commissioner of Patents and Trademarks is petitioned, under 37 C.F.R. § 1.136(a), to extend the time for filing a response to the outstanding Office Action by the number of months which will avoid abandonment under 37 C.F.R. § 1.135. The fee under 37 C.F.R. § 1.17 should be charged to our Deposit Account No. 15-0700.

SUMMARY OF AMENDMENTS

1. ☒ If checked, an abstract is submitted herewith.
2. ☐ If checked, amendment(s) to the drawings are submitted herewith.
3. ☒ If checked, amendment(s) to the specification are submitted herewith.
4. ☒ If checked, amendment(s) to the claims are submitted herewith.